

Stereoselective Transformation of Indole Diazabicyclo[3.2.2]nonedione to Azepinoindole

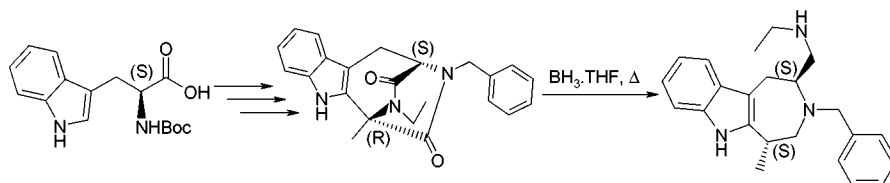
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ABSTRACT



The synthesis of an indole diazabicyclo[3.2.2]nonedione derivative was achieved in a few steps starting from L-tryptophan. Reduction with borane–THF complex leads to fragmentation of the bicycle and the stereoselective formation of an azepinoindole derivative was observed.

We would like to report in this letter a novel and efficient route to access 2*S*,5*S* disubstituted azepinoindoles from L-tryptophan. The key transformation of the reaction sequence is the stereoselective fragmentation of an indole diazabicyclo[3.2.2]nonedione with borane–THF complex.

In the eighties, Ottenheijm and co-workers reported the synthesis of an indole diazabicyclo[3.2.2]nonedione derivative starting from indole-3-pyruvic acid.¹ The key step was the intramolecular Pictet–Spengler reaction between a α -ketoamide and an amide group. In 1996, using the same strategy, Mortier and co-workers reported the synthesis of a similar compound starting from an arylidene piperazidione derivative.² In both cases, achiral starting materials were used and led to racemic diastereomeric mixtures of bicyclic compounds. The same key step was used by Czarnocki in the diastereoselective synthesis of 1-benzyltetrahydroisoquinoline.³

L-Tryptophan **1** was used as starting material for the synthesis of chiral indole diazabicyclo[3.2.2]nonedione skeletons. Amide bond formation was carried out under standard conditions to give the ethyl amide derivative **2** quantitatively. After Boc deprotection, the nitrogen was *N*-benzylated in a two-step procedure. Acylation of the acyclic precursor **3** with pyruvyl chloride⁴ gave the intermediate α -ketoamide derivative, which was not isolated and was exposed directly to TFA to afford the bicycle **4** in good yield via a Pictet–Spengler-type cyclization (Scheme 1).

Compound **4** was submitted for in-house water-solubility measurements where it was classified in a medium range (value around 0.05 g/L) but with no measurable pK_a . To improve solubility, it was decided to reduce the amide groups to tertiary amines. The reduction methodology was chosen carefully in order to be compatible with solid-phase supports in view of exploratory work. The selected reaction protocol used a borane–THF complex reduction followed by a mild oxidative workup procedure (iodine, triethylamine, and

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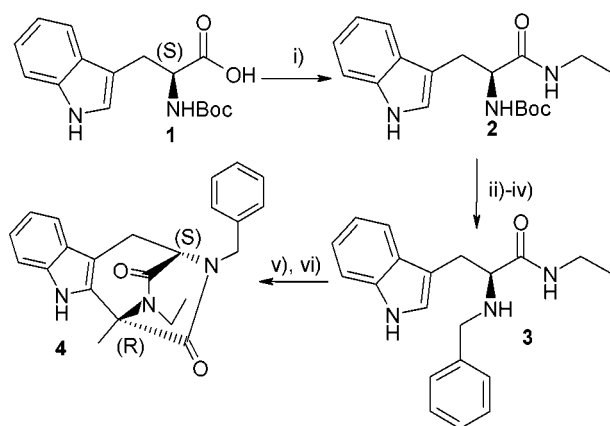
[§] Novartis Ophthalmics AG.

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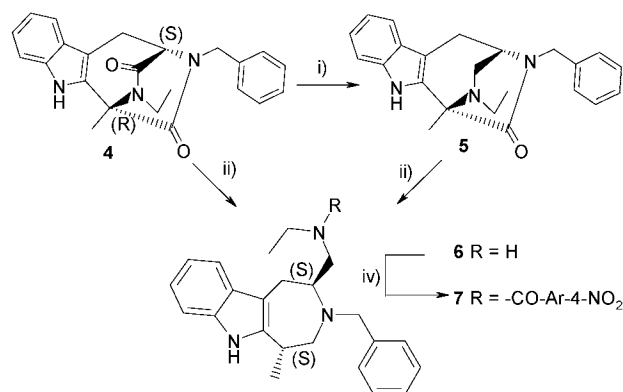
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Scheme 1^a

^a Reaction conditions: (i) EtNH₂·HCl, TEA, HOBt, DCC, THF, rt, 16 h (100%); (ii) 4 N HCl/MeOH, rt, 6 h; (iii) Na₂CO₃, PhCHO, TMOF, MeOH, rt, 16 h; (iv) NaBH₄, MeOH, rt, 1 h (70%, 3 steps); (v) MeCOCOCl, TEA, DCM, 0 °C, 1 h then aqueous workup; (vi) 20% TFA/DCM, rt, 2 h (80%, 2 steps).

methanol).⁵ In the first reduction experiment, **4** was treated in THF with 10 equiv of BH₃·THF at 50 °C for 6 h. No more starting material was observed and two new products were detected by HPLC. The completely reduced material was not detected by LC/MS. The first product had a mass + 14 (corresponding to a monoreduced derivative) and the second product had a mass + 2 (rupture of a C–C bond). The two derivatives were isolated by flash chromatography on silica gel, analyzed, and characterized. They were assigned to the structures **5** and **6**. Conditions for selective formation of **5** and **6** were optimized. When the reduction was carried out at rt, **5** was obtained selectively whereas at higher temperature (80 °C) **6** was the major product. In addition, we observed that **5** represents an intermediate in the formation of **6**. In fact, when **5** was reacted with borane–THF complex, **6** was obtained (Scheme 2).

The first amide bond reduction took place from the less hindered face of the molecule to give **5**. The amide group at the lower face of the molecule is shielded by the *N*-ethyl, *N*-benzyl, and *exo*-methyl groups whereas only the *N*-ethyl and *N*-benzyl groups surround the amide group of the upper face. The second amide reduction was more demanding from an energetic point of view and required higher reaction temperature; however, no formation of the expected diazabicyclononene was observed. From NMR analysis, it was not possible to assign the relative configuration of the two stereocenters (cis or trans) of **6**. Different derivatives were synthesized to obtain suitable crystals for X-ray analysis. Finally, the structure was solved for the nitrobenzoate

Scheme 2^a

^a Reaction conditions: (i) (a) BH₃·THF (10 equiv), THF, rt, 16 h, (b) MeOH, TEA, I₂, THF, rt, 2 h (95%); (ii) (a) BH₃·THF (10 equiv), THF, 80 °C, 24 h, (b) MeOH, TEA, I₂, THF, rt, 2 h (60%); (iii) 4-NO₂ArCOCl, TEA, DCM, rt, 2 h (80%).

derivative **7**.⁶ The 3-D structure showed relative trans configuration between the two substituents (Figure 1).

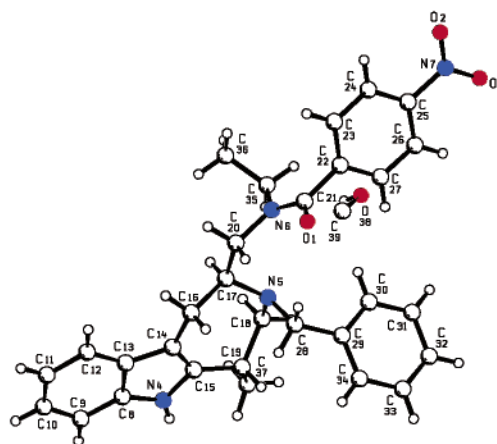


Figure 1. X-ray of 7·MeOH.

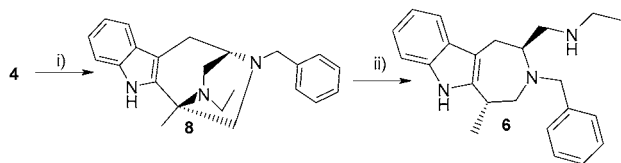
The water-solubility of the azepinoindole was measured in-house and showed at pH 6.8 low solubility (<0.001 g/L) for R = H, and good solubility (>0.1 g/L) was observed for R = Ac with measurable pK_a at 9.8 and 4.2.

To propose a reaction mechanism, a couple of transformations were carried out. When **4** was treated with a 1 M solution of LiAlH₄ in THF at 60 °C for 3 h, the tetrahydro compound **8** was obtained in 60% yield and no fragmentation was observed. When **8** was treated with borane–THF complex at 80 °C, the fragmentation product **6** was obtained. Therefore, the observed fragmentation does not require the presence of an amide group (Scheme 3).

To investigate the role of the indole system, compound **4** was reduced with sodium cyanoborohydride in TFA.⁷ Two diastereoisomers (only major shown) were obtained in a 6/1

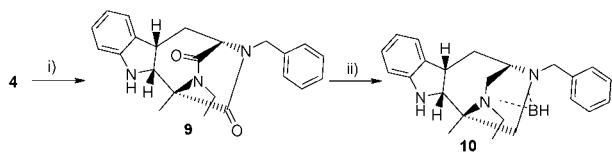
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(6) CCDC 189473 contains the supplementary crystallographic data for this paper. These data can be obtained free of charge via www.ccdc.cam.ac.uk/conts/retrieving.html (or from the Cambridge Crystallographic Data Centre, 12, Union Road, Cambridge CB2 1EZ, UK; fax (+44) 1223-336-033; e-mail deposit@ccdc.cam.ac.uk).

Scheme 3^a

^a Reaction conditions: (i) 1 M LiAlH₄/THF, 60 °C, 3 h (60%), (ii) (a) BH₃·THF (20 equiv), THF, 75 °C, 24 h, (b) MeOH, TEA, I₂, THF, rt, 2 h (80%).

ratio in favor of diastereoisomer **9** bearing the two hydrogens in the same side of the *N*-ethyl amide (less hindered face). The amide bond reduction was carried out under identical reaction conditions as for conversion of **4** to **6**. No fragmentation was observed and the reduced material **10** was quantitatively obtained as a borohydride complex (Scheme 4).

Scheme 4^a

^a Reaction conditions: (i) TFA, NaBH₃CN (6 equiv), rt, 30 min (70%), (ii) (a) BH₃·THF (10 equiv), THF, 80 °C, 24 h, (b) MeOH, TEA, I₂, THF, rt, 2 h (90%).

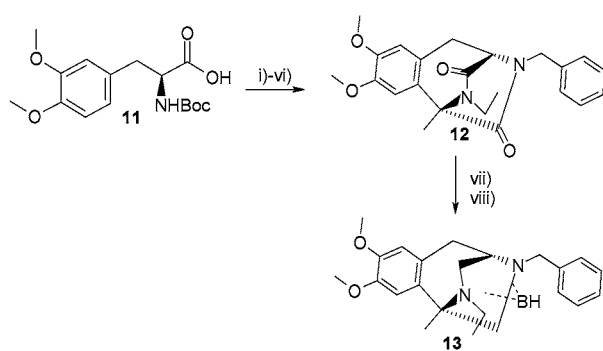
To explore the scope and limitation of this novel fragmentation, tryptophan was replaced by 3,4-dimethoxy L-DOPA. The same reaction sequence was carried out to obtain the [3.2.2] bicycle. When **12** was subjected to the borane reduction step, bireduced compound **13** was obtained with one boron complexed to the adduct. No fragmentation was observed (Scheme 5).

The series of experiments demonstrates that the indole ring and the presence of boron are essential for the fragmentation, therefore a possible mechanism for the stereoselective formation of **6** was postulated (Scheme 7). The final product of the reduction (tetrahydro derivative **5a**) was obtained as a borane complex. This intermediate could probably undergo, under heating, a boron-assisted retro-Michael addition or an aza-type fragmentation.⁸ Computer modeling shows a torsion angle of 58.6° for C=C–C(Me)–N allowing a possible overlap of the π orbital of C=C and the C–N bonds which helps to facilitate the fragmentation. In addition, when similar conditions of amide bond reduction were used for an indole diazabicyclo[3.3.1]nonenone,⁹ no fragmentation was ob-

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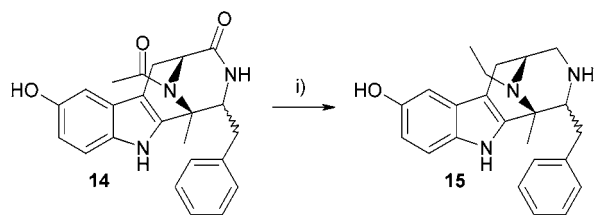
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Scheme 5^a

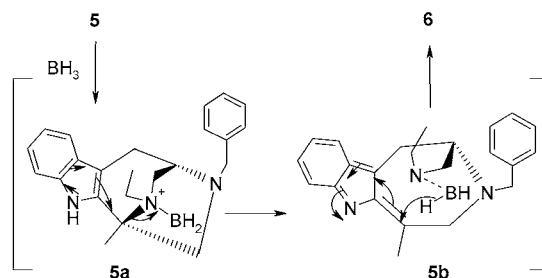
^a Reaction conditions: (i) EtNH₂·HCl, TEA, HOBt, DCC, THF, rt, 16 h (82%); (ii) 4 N HCl/MeOH, rt, 6 h; (iii) Na₂CO₃, PhCHO, TMOF, MeOH, rt, 16 h; (iv) NaBH₄, MeOH, rt, 1 h (70%, 3 steps); (v) MeCOCOCN, TEA, DCM, 0 °C, 1 h; (vi) 10% TFA/DCM, 50 °C, 16 h (84%, 2 steps); (vii) BH₃·THF (10 equiv), THF, 70 °C, 16 h; (viii) MeOH, TEA, I₂, THF, rt, 2 h (60%, 2 steps).

served (Scheme 6). Modeling of **14** gave a torsion angle of 10° for the C=C–C(Me)–N, which allowed no possible overlap of the orbitals.

Scheme 6^a

^a Reaction conditions: (i) (a) BH₃·THF (20 equiv), THF, 75 °C, 24 h, (b) MeOH, TEA, I₂, THF, rt, 2 h (65%).

In the second step, one hydride from a molecule of BH₃ (in excess in the reaction mixture) complexed to the secondary nitrogen **5b** is transferred to the Michael acceptor from the top face to afford **6** (Scheme 7).

Scheme 7. Proposed Mechanism for the Transformation of **5** to **6**

In conclusion, we have shown an efficient synthesis of chiral indole [3.2.2] bicycles that can be used as starting material to obtain azepinoindole derivatives stereoselectively.

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Supporting Information Available: Detailed descriptions of experimental procedures for the synthesis of **4**, **5**, **6**, **8**, **9**, **12**, and **13**. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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